



PATENT APPLICATION
Docket No: 15689.54

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masafumi USUDA et al.

Serial No.: 09/619,361

Filing Date: July 19, 2000

Confirmation No.: 2184

For: **CDMA RECEPTION APPARATUS AND
RECEIVED SIGNAL POWER MEASURING
APPARATUS IN CDMA MOBILE
COMMUNICATION SYSTEM**

Examiner: Ian N. Moore

Customer No.: 022913

Art Unit
2661

RECEIVED

AUG 09 2004

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

08/04/2004 HVUONG1 00000067 09619361

01 FC:1806

180.00 OP

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 06-013956 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 11-122212 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-013364 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-126337 is provided in the English abstract of that publication. The concise English translation is attached for Japanese non-patent reference, "An Investigation on SIR Measurement Methods in Adaptive Transmit Power Control for DS-CDMA".

((Promptness Certification or Submission Fee)
Under 37 C.F.R. § 1.97(c))

In accordance with 37 C.F.R. § 1.97(c), a PTO 2038 Credit Card form in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED August 2, 2004

Respectfully submitted,

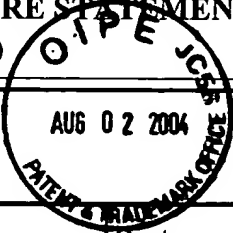
A handwritten signature in black ink, appearing to be 'AJL' with a stylized flourish.

ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No. 022913

AJL: ds
DS0000002299V001

8-3-04

41 2667

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15689.54	
In Re Application Of: Masafumi Usuda et al.						
						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
09/619,361	July 19, 2000	Unknown	022913	2731	Unknown	
Title: CDMA RECEPTION APPARATUS AND RECEIVED SIGNAL POWER MEASURING IN CDMA MOBILE COMMUNICATION SYSTEM						
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> RECEIVED AUG 09 2004 Technology Center 2600 </div>						
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
37 CFR 1.97(b)						
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
37 CFR 1.97(c)						
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>						
OR						
<div style="margin-left: 40px;"> <input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.54

In Re Application: Masafumi Usuda et al.

AUG 02 2004

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/619,361	July 19, 2000	Unknown	022913	2731	Unknown

**CDMA RECEPTION APPARATUS AND RECEIVED SIGNAL POWER MEASURING IN CDMA
MOBILE COMMUNICATION SYSTEM**

RECEIVED

AUG 09 2004

Payment of Fee

Technology Center 2600

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

Dated: August 2, 2004

ADRIAN J. LEE

Attorney for Applicant

Reg. No.: 42,785

Customer No.: 022913

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Masafumi Usuda et al.

Docket No.

15689.54

Application No.

09/619,361

Filing Date

July 19, 2000

Examiner

Unknown

Customer No.

022913

Group Art Unit

2731

Invention: CDMA RECEPTION APPARATUS AND RECEIVED SIGNAL POWER MEASURING APPARATUS
IN CDMA MOBILE COMMUNICATION SYSTEM

AUG 02 2004

RECEIVED

AUG 09 2004

Technology Center 2600

I hereby certify that the following correspondence:

(see below)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 2, 2004

(Date)

Adrian J. Lee

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 510294515 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

Transmittal for Information Disclosure Statement (2 page; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (6 references)
PTO 2038 Credit Card form for \$180.00
Certificate of Express Mail (EV510294515US);
Acknowledgment Postcard